

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on June 30,2021

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	32,655
	b. PolicyholdersFund	8A	3,07,616
2	Loans	9	-
3	Fixed Assets	10	3,065
4	Deferred Tax Assets		99
5	Current Assets		
	a. Cash & Bank Balance	11	3,813
	b. Advances & Other Assets	12	85,032
6	Current Liabilities		
	a. Current Liabilities	13	2,60,238
	b. Provisions	14	66,499
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
Application of Funds as per Balance Sheet (A)			1,05,543

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,065
3	Deferred Tax Assets		99
4	Cash & Bank Balance (if any)	11	3,813
5	Advances & Other Assets (if any)	12	85,032
6	Current Liabilities	13	2,60,238
7	Provisions	14	66,499
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-
TOTAL (B)			(2,34,727)
'Investment Assets' As per FORM 3B			3,40,270

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 76.77 Lakhs in Shareholders Fund & Rs 723.23 Lakhs in Policyholders Fund & for Sintex Industries Limited for Rs 9.60 Lakhs in Shareholders Fund & Rs 90.40 Lakhs in Policyholders Fund

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM [*]							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	8,899	83,831	92,730	27.21%	-	92,730	93,514	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	13,520	1,27,360	1,40,879	41.34%	-	1,40,879	1,43,021	
3	Investment subject to Exposure Norms		-	19,186	1,80,737	1,99,923	58.66%	367.57	2,00,291	2,03,947	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	3,937	37,086	41,023	12.04%	29	41,052	41,726	
	2. Infrastructure Investments	Not less than 10%	-	8,289	78,082	86,371	25.34%	6	86,377	88,825	
	3. Approved Investments	Not exceeding 55%	-	6,773	63,800	70,573	20.71%	256.47	70,829	72,273	
	4. Other Investments (not exceeding 25%)		-	188	1,769	1,957	0.57%	76.19	2,033	1,122	
Total Investment Assets			100%	-	32,706	3,08,097	3,40,803	100.00%	367.57	3,41,170	3,46,967

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

([^]) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)